



Receipt

Atty. Docket No. 4530/1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**FILE COPY**

In re Application of : Webster HUGHES  
Appln. No. : 09/706,678 Examiner: Not yet assigned  
Filed : November 6, 2000 Group Art Unit: 2161  
Title : METHOD AND SYSTEM FOR REBROKERING ORDERS  
IN A TRADING SYSTEM

**RECEIVED**

AUG. 21 2001

Application Processing Division's Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2100

**REQUEST FOR CORRECTED FILING RECEIPT**

We noticed an error in the filing receipt for the application identified above.

Please correct the following error:

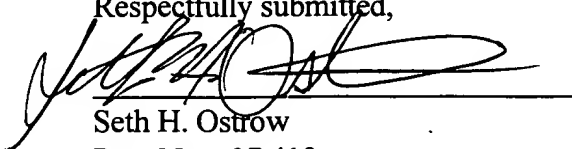
**IN THE DOMESTIC PRIORITY DATA SECTION**

Change --WHICH--, both occurrences, to "AND".

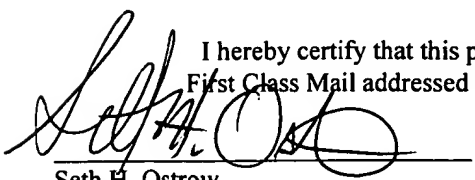
A copy of the filing receipt with the changes noted in red thereon is enclosed.

Issuance of a corrected filing receipt is respectfully requested.

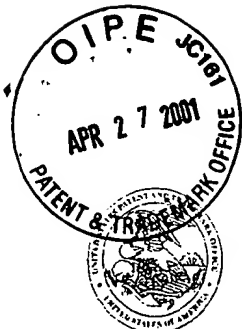
Dated: April 23, 2001

Respectfully submitted,  
  
Seth H. Ostrow  
Reg. No.: 37,410  
BROWN RAYSMAN MILLSTEIN  
FELDER & STEINER LLP  
120 West Forty-Fifth Street  
New York, New York 10036  
(212) 944-1515

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First Class Mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

  
Seth H. Ostrow  
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4-23-01  
Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/706,678	11/06/2000	2161	725	4530-1	17	20	3

CONFIRMATION NO. 8283

## UPDATED FILING RECEIPT



\*OC000000005971529\*

Brown Raysman Millstein Felder & Steiner LLP  
120 West 45th Street  
New York, NY 10036

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AUG. 21 2001

Technology Center 2100

Date Mailed: 04/16/2001

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## Applicant(s)

Webster Hughes, Charlotte, NC;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/173,581 12/29/1999

~~AND WHICH~~ CLAIMS BENEFIT OF 60/178,049 01/24/2000~~AND WHICH~~ CLAIMS BENEFIT OF 60/201,599 05/03/2000

## Foreign Applications

If Required, Foreign Filing License Granted 02/06/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Method and system for rebrokering orders in a trading system

RECORDS UPDATED

04/16/01 Fe

Preliminary Class  
705

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Data entry by : HARRISON, BRUCE

Team : 1700

Date: 04/16/2001



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The title is recorded in sentence case.

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Bib Data Sheet

CONFIRMATION NO. 8283

<b>SERIAL NUMBER</b> 09/706,678	<b>FILING DATE</b> 11/06/2000 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> 4530-1
<b>APPLICANTS</b> Webster Hughes, Charlotte, NC;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/173,581 12/29/1999 AND CLAIMS BENEFIT OF 60/178,049 01/24/2000 AND CLAIMS BENEFIT OF 60/201,599 05/03/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> <b>** 02/06/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> NC	<b>SHEETS DRAWING</b> 17	<b>TOTAL CLAIMS</b> 20
<b>INDEPENDENT CLAIMS</b> 3				
<b>ADDRESS</b> Brown Raysman Millstein Felder & Steiner LLP 900 Third Avenue New York, NY 10022-4728				
<b>TITLE</b> Method and system for rebrokering orders in a trading system				
<b>FILING FEE RECEIVED</b> 725	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	